

FORM PTO-1449

ATTY. DOCKET NO.

SERIAL NO.

NU-5532

09/729,498

APPLICANT

Kircher et al.

FILING DATE

12/4/2000

GROUP

2121

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
BD	1A	5,085,256	Feb. 4, 1992	Kircher et al.		
	1B	5,208,762	May 4, 1993	Charhut et al.		
	1C	5,228,485	July 20, 1993	Lewis et al.		
	1D	5,927,349	July 27, 1999	Martucci et al.		
	1E					
	1F					
	1G					
	1H					
	1I					
	1J					
	1K					

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
BD	1L	0 320 503 ✓	6/14/1989	EP				x
	1M							
	1N							
	1O							
	1P							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

1Q	
1R	
1S	

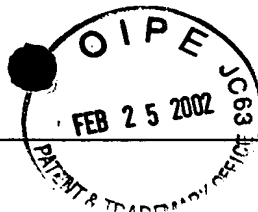
RECEIVED

MAY 1 - 2001

Technology Center 2100

EXAMINER

DATE CONSIDERED



PATENT APPLICATION

Sheet 1 of 4

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

NU-5532

APPLICATION NO.

09/729,498

CONFIRMATION NO.

APPLICANT

Kircher et al.

FILING DATE

12/4/2000

GROUP

2121

RECEIVED
MAR 18 2002
TC 1700

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
BD	1A	4,513,796	4/30/1985	Miller et al.		
	1B	4,648,430	3/10/1987	Di Gianfilippo et al.		
	1C	4,653,010	3/23/1987	Figler et al.		
	1D	4,712,590	12/15/1987	Gianfilippo		
	1E	5,040,699	8/20/1991	Gangemi		
	1F	5,612,622	3/18/1997	Goldman et al.		
	1G	6,199,603	3/13/2001	DiGianfilippo et al.		
	1H					
	1I					
	1J					
	1K					

RECEIVED
MAR 05 2002
Technology Center 2100RECEIVED
MAR 06 2002
TC 1700

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
							YES	NO
BD	1L	0,721,103	7/10/1996	EP				
	1M							
	1N							
	1O							
	1P							

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

BD	1Q	"Multitask Operating System for Automix® Compounders Version 2.30, Operator's Manual", Baxter Healthcare Corporation, Deerfield, IL, May 1999.
	1R	"MM23™ Compounder Operating Program, Version 4.2," Revision A, Baxa Corporation, Englewood, CO.
	1S	"MM23™ Version 4.2x Capabilities and Benefits," Revision A, Baxa Corporation, Englewood, CO.

EXAMINER

B. R. Duly

DATE CONSIDERED

3/5/03

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

FEB 25 2002

ATTY. DOCKET NO.

NU-5532

APPLICATION NO.

09/729,498

CONFIRMATION NO.

APPLICANT

Kircher et al.

FILING DATE

12/4/2000

GROUP

2121

RECEIVED
MAR 18 2002
TC 1700

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	2A				
	2B				
	2C				
	2D				
	2E				
	2F				
	2G				
	2H				
	2I				
	2J				
	2K				

RECEIVED
MAR 05 2002
Technology Center 2100
RECEIVED
MAR 06 2002
TC 1700

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
						YES	NO
	2L						
	2M						
	2N						
	2O						
	2P						

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

BD	2Q	"DIRECT ENTRY™ Compounder Operating Program, Version 1.1," Revision A, Baxa Corporation, Englewood, CO. ✓
	2R	"TPN-PC PLUS™ Parenteral Nutrition Program, Version 3.3," Baxa Corporation, Englewood, CO. ✓
	2S	"TPN-PC™ & TPN-PC PLUS™ Version 3.3x Capabilities and Benefits," Revision A, Baxa Corporation, Englewood, CO. ✓

EXAMINER

B-254

DATE CONSIDERED

3/5/03

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

NU-5532

APPLICATION NO.

09/729,498

CONFIRMATION NO.

RECEIVED

APPLICANT

Kircher et al.

FILING DATE

12/4/2000

GROUP

2121

MAR 1 8 2002

TC 1700

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
	3A				
	3B				
	3C				
	3D				
	3E				
	3F				
	3G				
	3H				
	3I				
	3J				
	3K				

CLASS

SUB
CLASSRECEIVED
MAR 0 5 2002
Technology Center 2100RECEIVED
MAR 0 6 2002
TC 1700

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
						YES	NO
	3L						
	3M						
	3N						
	3O						
	3P						

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

B2	3Q	"Baxa MicroMacro Compounding Software Version 4.0x Operating Instructions", Revision A, Baxa Corporation, Englewood, CO, April 16, 1993. ✓
	3R	"Baxa Micromacro™ Operating Software", Version 4.03, Baxa Corporation, Englewood, CO., June 17, 1993 ✓
	3S	"Operating Instructions for MicroMacro Compounder", Version 3.03, Revision F9205, Baxa Corporation, Englewood, CO. ✓

EXAMINER

DATE CONSIDERED

B-2 R

3/5/03

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

NU-5532

APPLICATION NO.

09/729,498

CONFIRMATION NO.

APPLICANT

Kircher et al.

FILING DATE

12/4/2000

GROUP

2121

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	CLASS
	4A				
	4B				
	4C				
	4D				
	4E				
	4F				
	4G				
	4H				
	4I				
	4J				
	4K				

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	TRANSLATION	
						YES	NO
	4L						
	4M						
	4N						
	4O						
	4P						

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

4Q	"Quik Instructions for Baxa Micro/Macro™ Compounder Software Version 3.0". ✓
4R	
4S	

EXAMINER

DATE CONSIDERED